

Financial Operations Manager FINOP

Employee Type: Full-Time
Industry: Financial Services
Manages Others: Yes
Job Type: Finance, Accounting
Education: 4 Year Degree
Experience: 5+ year of experience
Contact Information: email@mainstreetsecurities.com
Posted: 8/5/2009

A boutique broker dealer firm located in Western Kansas is currently seeking a FINOPS Manager for a broker dealer entity, which is comprised of over 60 representatives and offices located in 15 states. This is a great opportunity to be a part of a growing and stable company.

Responsibilities include:

- Direct the overall Financial activities of the firm
- Handle net capital requirements, expense and revenue flows
- Strategize with Senior management to grow the firm by maximizing capital
- Deal with regulators and auditors while meeting FINRA requirements
- Handle compliance issues and all regulatory compliance
- Filing of FOCUS reports
- Audit preparation
- Liaise with regulators, exchanges and trading counterparties

Requirements Experience

- 5-10 years experience as a Series 27 registered FINOP for a broker dealer.
- Experience in financial accounting and compliance
- Strong knowledge of Broker Dealer regulatory issues
- Excellent organizational and interpersonal skills.
- Ability to work under pressure, prioritize and multitask.
- Variable annuities (pre-packaged products) experience a plus.

Compensation:

- Salary upon qualifications
- Full benefit package

Community Information

Hays, America is a university community of 20,000 people located half way between Kansas City and Denver on Interstate 70. We are the regional hub of Northwest Kansas for retail, education, medical service, entertainment, and recreation. Ellis County has the largest retail pull factor in the state. Our downtown is being revitalized with unique shops and entertainment and the north side of town is expanding with additional retail offerings. We have a very diversified mix of businesses in the area although agriculture

and oil are still major parts of the regional economy. The Hays Regional Airport offers four commercial flights each day to Denver. According to recent rankings by Progressive Farmer, E-Podunk, and BizJournal; Hays, America has a great quality of life. We happen to agree.